



North Carolina Department of Environment and Natural Resources
Division of Waste Management

Beverly Eaves Perdue
Governor

Dexter R. Matthews
Director

Dee Freeman
Secretary

December 20, 2012

Mr. John Brown, President
Capitol Waste Transfer, LLC
3209-120 Gresham Lake Road
Raleigh, NC 27615

Re: Compliance Review for Capital Waste C&D Transfer Station, Permit ID # 9237T-TRANSFER-2010, Wake County, North Carolina, Document ID No. 17954

Mr. Brown:

The agency received your application for the five-year renewal of the Permit to Operate for the Capital Waste C&D Transfer Station, Permit ID # 9237T-TRANSFER-2010. Under N.C.G.S. § 130A-294 (b2)(2) and 295.3 the agency must conduct a review to determine David W. King, Jr., Shotwell Transfer Station, Inc.'s and Capitol Waste Transfer, LLC's past compliance with federal and state laws, regulations, and rules, including permit conditions, for the protection of the environment or conservation of natural resources.

The statute (NCGS §130A-295.3) states as follows:

(a) For purposes of this section, "**applicant**" means an applicant for a permit and a permit holder and includes the owner or operator of the facility, and, **if the owner or operator is a business entity, applicant also includes: (i) the parent, subsidiary, or other affiliate of the applicant; (ii) a partner, officer, director, member, or manager of the business entity, parent, subsidiary, or other affiliate of the applicant; and (iii) any person with a direct or indirect interest in the applicant,** other than a minority shareholder of a publicly traded corporation who has no involvement in management or control of the corporation or any of its parents, subsidiaries, or affiliates.

(b) The Department shall conduct an environmental compliance review of each applicant for a new permit, permit renewal, and permit amendment under this Article. The environmental compliance review shall evaluate the environmental compliance history of the applicant for a period of five years prior to the date of the application and may cover a longer period at the discretion of the Department. The environmental compliance review of an applicant may include consideration of the environmental compliance history of the parents, subsidiaries, or other affiliates of an applicant or parent that is a business entity, including any business entity or joint venturer with a direct or indirect interest in the applicant, and other facilities owned or operated by any of them. The Department shall determine the scope of the review of the environmental compliance history of the applicant, parents, subsidiaries, or other affiliates of the applicant or parent, including any business entity or joint venturer with a direct or indirect interest in the applicant, and of other facilities owned or operated by any of them. **An applicant**

for a permit shall provide environmental compliance history information for each facility, business entity, joint venture, or other undertaking in which any of the persons listed in this subsection is or has been an owner, operator, officer, director, manager, member, or partner, or in which any of the persons listed in this subsection has had a direct or indirect interest as requested by the Department.

To begin the review, please provide organizational charts for David W. King, Jr., Shotwell Transfer Station, Inc., and Capitol Waste Transfer, LLC (applicant) as well as explanatory text that shows all business entities that are direct or indirect parents, subsidiaries or other affiliates of David W. King, Jr., Shotwell Transfer Station, Inc., and Capitol Waste Transfer, LLC and depicts the relationships among all entities shown on each chart as required under the above referenced statute. The charts shall also include any partnerships and joint ventures in which any of the entities are engaged or which have a financial interest in any of the entities. For all business entities identified in the organizational charts, please identify those business entities which operated under a previous business name and identify the prior business name.

After review of the organizational charts, the agency may request additional follow-up information. Please be advised that under subsection N.C.G.S. § 130A-294(b3), the agency is not required begin review of the application until you have satisfied the agency that you have provided all of the information required.

Please email organizational charts to allen.gaither@ncdenr.gov or send by mail to the following address:
2090 US Highway 70, Swannanoa, NC 28778.

Best regards,



Allen Gaither
Permitting Engineer

cc: Ms. Pat Backus – Permitting Engineer
Mr. Dennis Shackelford – Western District Supervisor
Ms. Shawn McKee– Environmental Senior Specialist